Oklahoma Health Care Authority

The Oklahoma Health Care Authority (OHCA) values your feedback and input. It is very important that you provide your comments regarding the proposed rule change by the comment due date. Comments can be submitted on the OHCA's Proposed Changes Blog.

OHCA COMMENT DUE DATE: June 24, 2021

Reference: APA WF # 21-08

SUMMARY:

Statewide HIE (OKSHINE) — The proposed new policy is necessary due to the creation of a statewide electronic health information exchange (HIE) system.

LEGAL AUTHORITY

The Oklahoma Health Care Authority Act, Section 5007 (C)(2) of Title 63 of Oklahoma Statutes; the Oklahoma Health Care Authority Board; and 63 O.S. \S 1-133

RULE IMPACT STATEMENT:

STATE OF OKLAHOMA OKLAHOMA HEALTH CARE AUTHORITY

SUBJECT: Rule Impact Statement

APA WF # 21-08

A. Brief description of the purpose of the rule:

The proposed policy outlines HIE description, definitions, user requirements, and needed certifications of the Oklahoma State Health Information Network and Exchange (OKSHINE). OKSHINE was created by Oklahoma Senate Bill 574 and statutized at 63 O.S. § 1-133.

B. A description of the classes of persons who most likely will be affected by the proposed rule, including classes that will bear the cost of the proposed rule, and any information on cost impacts received by the agency from any private or public entities:

SoonerCare members, providers, and taxpayers are most likely to be affected by the proposed rule. This rule change should not place any cost burden on private or public entities. There were no cost impacts received from any entity. C. A description of the classes of persons who will benefit from the proposed rule:

The proposed rule change will benefit SoonerCare members, providers, and taxpayers by improving the security of patient information, coordination of patient care, increased efficiency of health care delivery, and improvement of health outcomes.

D. A description of the probable economic impact of the proposed rule upon the affected classes of persons or political subdivisions, including a listing of all fee changes and, whenever possible, a separate justification for each fee change:

There is no probable economic impact and there are no fee changes associated with the rule change for the above classes of persons or any political subdivisions.

E. The probable costs and benefits to the agency and to any other agency of the implementation and enforcement of the proposed rule, the source of revenue to be used for implementation and enforcement of the proposed rule, and any anticipated affect on state revenues, including a projected net loss or gain in such revenues if it can be projected by the agency:

The SFY 2021 total cost is \$4,052,999 (\$3,647,699 in federal share and \$405,300 in state share). The SFY 2022 total cost is \$16,092,660 (\$9,045,165 in federal share and \$7,047,495 in state share). Of note, as providers, payers, and other stakeholders join and utilize OKSHINE, there will be a significant decrease of state share dollars needed as the burden for operational costs will shift away from the State of Oklahoma and become more dependent upon stakeholder participant fees.

F. A determination of whether implementation of the proposed rule will have an economic impact on any political subdivisions or require their cooperation in implementing or enforcing the rule:

The proposed rule changes will not have an economic impact on any political subdivision or require their cooperation in implementing or enforcing the rule changes. G. A determination of whether implementation of the proposed rule will have an adverse effect on small business as provided by the Oklahoma Small Business Regulatory Flexibility Act:

The agency does not anticipate that the proposed rule changes will have an adverse effect on small businesses.

H. An explanation of the measures the agency has taken to minimize compliance costs and a determination of whether there are less costly or non-regulatory methods or less intrusive methods for achieving the purpose of the proposed rule:

The agency has taken measures to determine that there are no other legal methods to achieve the purpose of the proposed rule. Measures included a formal public comment period and tribal consultation.

I. A determination of the effect of the proposed rule on the public health, safety and environment and, if the proposed rule is designed to reduce significant risks to the public health, safety and environment, an explanation of the nature of the risk and to what extent the proposed rule will reduce the risk:

The proposed rule should have a positive effect on the public health, safety or environment by helping to improve the health outcomes of the residents of Oklahoma through improved coordination of care by health care providers.

J. A determination of any detrimental effect on the public health, safety and environment if the proposed rule is not implemented:

The agency anticipates that there would be detrimental effects on the public health, safety or environment if the proposed rule is not implemented.

K. The date the rule impact statement was prepared and if modified, the date modified:

Prepared date: June 2, 2021

TITLE 317. OKLAHOMA HEALTH CARE AUTHORITY CHAPTER 30. MEDICAL PROVIDERS-FEE FOR SERVICE

SUBCHAPTER 3. GENERAL PROVIDER POLICIES

PART 1. GENERAL SCOPE AND ADMINISTRATION

317:30-3-35. Oklahoma State Health Information Network and Exchange (OKSHINE)

- (a) **Authority**. This rule is promulgated under the authority granted in Title 63 of the Oklahoma Statutes Section 1-133 (63 O.S. § 1-133). This Section is intended to be read in conjunction with applicable Oklahoma statutes and federal law.
- (b) Applicability and purpose.
 - (1) **Applicability.** This section shall apply to and govern the establishment and operation of the statewide health information exchange (HIE), herein referred to as OKSHINE.
 - (2) **Purpose.** OKSHINE is the state-designated organization that facilitates the exchange of health information to and from authorized individuals and health care organizations in the state for the purpose of improving health outcomes, as per 63 O.S. § 1-133.
- (c) **Definitions.** The following words and terms, when used in this section, shall have the following meaning, unless the context clearly indicates otherwise:
 - (1) **OKSHINE** means an organization that oversees, governs, and facilitates health information exchange among health care providers that are not related health care organizations as defined in the Oklahoma Statutes, to improve the security of patient information, coordination of patient care, and the efficiency of health care delivery.
 - (2) **Participant** means an organization, health care practitioner or institution, health plan, or health care clearinghouse who has executed a written participation agreement (PA) and business associate agreement (BAA) with OKSHINE.
 - (3) Participant agreement means the agreement between OKSHINE and a participant which authorizes the participant to have access to OKSHINE and outlines the policies and procedures for access, protection, and use of the electronic protected health information.
 - (4) Oklahoma Statewide Health Information Exchange (OKHIE) means a certified HIE as referenced in 63 O.S. § 1-133 whose primary business activity is health information exchange.
- (d) **OKHIE Certification**. Per 63 O.S. § 1-133, an initial certification and an annual recertification will be required for health information exchanges to qualify as an OKHIE. In order to receive certification, the applying HIE must submit an application to the Oklahoma Health Care Authority (OHCA) and provide all requested documentation. The application and standards for certification shall be posted on the OHCA OKSHINE public website.
 - (1) The OHCA shall establish a health information exchange certification with input from stakeholders.
 - (2) Until such time as the health information exchange certification is established by the OHCA, an OKSHINE or an HIE

- organization that was previously certified by the Oklahoma Health Information Exchange Trust (OHIET) shall be deemed an OKHIE.
- (3) An HIE must provide documentation of certification from OHIET to OHCA in order to receive initial OKHIE certification.

(e) Fees.

- (1) Certification fees. Each health information exchange which applies for certification, will be required to pay annual certification/recertification fees. The OHCA will develop the certification criteria and will publish the criteria and associated fees, when available, on the OHCA OKSHINE public website.
- (2) Participant fees. Each participant, as defined in this section, will be required to pay an annual participation fee as outlined in the participant agreement. The OHCA will develop the criteria for the fees and will publish the criteria when available. The participant agreement and fee schedule will be posted on the OHCA OKSHINE public website.